



2161#3  
BJ  
7-14-03

Attorney's Docket No. 80398.P488

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:

Ronald C. Card

Application No.: 10/021,102

Filed: December 5, 2001

For: System and Method to Provide  
Financial Rewards and Other  
Incentives to Users of Personal  
Transaction Devices

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Examiner: Not yet assigned

Art Unit: 2161

RECEIVED

JUL 08 2003

GROUP 3600

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on 7-1-03

(Date of Deposit)

Barbara Herz  
(Typed or printed name of person mailing correspondence)

[Signature]  
(Signature of person mailing correspondence)

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

  X   37 C.F.R. §1.97(b).

       37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:

       A statement pursuant to 37 C.F.R. §1.97(e) or

       A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

       37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:

(1) A statement pursuant to 37 C.F.R. §1.97(e); and

(2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

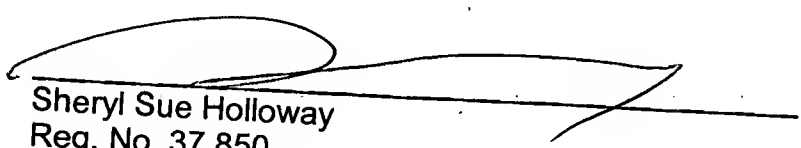
If there are any additional charges, please charge Deposit Account No.  
02-2666.

Respectfully submitted,

---

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 6/30, 2003

  
Sheryl Sue Holloway  
Reg. No. 37,850

12400 Wilshire Blvd.  
Seventh Floor  
Los Angeles, CA 90025  
(408) 720-8300

STP  
JUL 03 2003  
SC109  
DEWABK  
FIC

# Summary of USPTO Kind Codes Used on Documents Published Beginning January 2, 2001

WIPO ST.16 Kind Codes	Kind of document	Comments
A1	Patent Application Publication	Pre-grant publication available March 2001
A2	Patent Application Publication (Republication)	Pre-grant publication available March 2001
A9	Patent Application Publication (Corrected Publication)	Pre-grant publication available March 2001
B1	Patent	No previously published pre-grant publication
B2	Patent	Having a previously published pre-grant publication and available March 2001
C1, C2, C3...	Reexamination Certificate	Previously used codes B1 and B2 are now used for granted Patents
E	Reissue Patent	No change
H	Statutory Invention Registration (SIR)	No change
P1	Plant Patent Application Publication	Pre-grant publication available March 2001
P2	Plant Patent	No previously published pre-grant publication
P3	Plant Patent	Having a previously published pre-grant publication and available March 2001
P4	Plant Patent Application Publication (Republication)	Pre-grant publication available after March 2001
P9	Plant Patent Application Publication (Corrected Publication)	Pre-grant publication available March 2001
S	Design Patent	No change

GROUP 3600

JUL 08 2003

RECEIVED



Substitut f r F rm 1449A/PTO (Modified)

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

JUL 03 2003

Sheet

2

of

2

## Complete if Known

Application Number	10/021,102
Filing Date	December 5, 2001
First Named Inventor:	Ronald C. Card
Group Art Unit	2161
Examiner Name	Unassigned
Attorney Docket Number	80398.P488

## OTHER ART - NO PATENT LITERATURE DOCUMENTS

Examiner  
Initials\*

Cite  
No<sup>1</sup>

Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published

T<sup>2</sup>

Tryllian Mobile Agents: Going Beyond the Web, A Commercial White Paper, Version 1.0- May 18, 2000, p. 1-21.

Alan Neibauer, "Running Microsoft Outlook 98", Microsoft Press, p. 44-55 (Copyright 1998).

About Gossip, <http://www.Tryllian.com> including Quickstart Guide, Products and Downloads (6 pgs) (Copyright 1999-2000).

Alan Freedman, The Computer Desktop Encyclopedia (2<sup>nd</sup> Ed.), pp. 16, 86,1029, The Computer Language Company Inc., Point Pleasant, PA (Copyright 1999).

RECEIVED

JUL 08 2003

GROUP 3600

Examiner  
Signature

Date  
Considered

1. Initial: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and considered. Include copy of this form with next communication.  
2. Applicant is to place a check mark here if English language Translation is attached.

Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.